



NOTIS PERKAPALAN MALAYSIA
MALAYSIAN SHIPPING NOTICE

JABATAN LAUT MALAYSIA

MARINE DEPARTMENT MALAYSIA

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MSN 07/2018

Notis kepada Organisasi Sekuriti Yang Diiktiraf
Notice Recognised Security Organisations

ISSUANCE AND ENFORCEMENT OF RECOGNISED SECURITY ORGANISATION (RSO) INSTRUCTION TO 1/2018 (ARAHAN ORGANISASI SEKURITI YANG DIIKTIRAF (RSO) 1/2018)

Dokumen berkaitan : Notis Perkapalan Malaysia NPM 5/2013, NPM 4/2018
Related document : Malaysia Shipping Notice MSN 5/2013, MSN 4/2018

Notis ini memberi ingatan bahawa Organisasi Sekuriti Yang Diiktiraf (RSO) yang bertindak bagi pihak Jabatan Laut Malaysia hendaklah mematuhi arahan yang dikeluarkan oleh Pihak Berkuasa Yang Ditetapkan dibawah Seksyen 249x, Ordinan Perkapalan Saudagar (OPS 1952) bagi aktiviti berkaitan sekuriti.

Sehubungan dengan itu, Pihak Berkuasa Yang Ditetapkan dengan ini mengeluarkan *Recognised Security Organisation (RSO) Instruction 1/2018* (Arahan Organisasi Sekuriti Yang Diiktiraf (RSO) 1/2018) untuk makluman dan tindakan semua pihak yang berkaitan.

RSO dikehendaki menggantikan *International Ship Security Certificate (ISSC) Interim/Full Term* mengikut format yang baharu di dalam Arahan RSO 1/2018. Mana-mana ISSC *Interim/Full Term* yang telah dikeluarkan, RSO hendaklah menggantikan dengan format yang baru semasa initial (*Interim/ Full Term*), atau pertengahan atau pembaharuan.

This notice serves to remind that the Recognized Security Organizations (RSOs) acting on behalf of Malaysia Marine Department (MMD) shall follow the instruction issued by the Designated Authority (DA), under Section 249x Merchant Shipping Ordinance (MSO 1952) for security related activities.

Therefore, the DA hereby issue the [Recognised Security Organisation \(RSO\) Instruction 1/2018](#) for information and further action of all relevant parties.

RSO is required to replace the Interim/Full Term International Ship Security Certificate according to the new format in RSO Instruction 1/2018. Any Interim/Full Term ISSC has been issued, RSO shall replace with the new format during Initial (Interim/Full Term) or Intermediate or Renewal.

Tempoh pertukaran format sijil-sijil ISSC ini adalah berkuatkuasa serta merta

The format conversion period of ISSC is effective immediately.

Arahan Organisasi Sekuriti Yang Diiktiraf (RSO) 1/2018 ini akan mula berkuatkuasa pada 13 Ogos 2018.

This Recognised Security Organisation (RSO) Instruction 1/2018 will come into force on 13th August 2018.

Dengan berkuatkuasa notis ini, maka Arahan RSO 1/2013 adalah dibatalkan.

With the enforcement of this notice, RSO Instruction 1/2013 is hereby revoked.

Ketua Pengarah Laut

Director of Marine

Tarikh : 13 Ogos 2018

Date : 13th August 2018



RECOGNISED SECURITY ORGANISATION (RSO) INSTRUCTION 1/2018

(APPOINTED UNDER SECTION 249x MSO 1952)

Recognized Security Organizations (RSOs) acting on behalf of Malaysia Marine Department (MMD) as Designated Authority (DA), shall follow these instructions and procedures to carry out security related activities as authorized under Section 249x Merchant Shipping Ordinance (MSO 1952).

TABLE OF CONTENT

1.0	RESPONSIBILITIES OF THE RECOGNIZED SECURITY ORGANIZATIONS (RSOs)	Page 3
2.0	APPLICABILITY OF THE ISPS CODE	Page 3
3.0	VOLUNTARY COMPLIANCE	Page 3
4.0	RESTRICTIONS OF THE RECOGNIZED SECURITY ORGANIZATION (RSOs)	Page 4
5.0	EQUIVALENT SECURITY ARRANGEMENTS	Page 4
6.0	AUDITOR	Page 4
7.0	SCOPE OF APPOINTMENT FOR SHIPS	
7.1	DA Requirement	Page 5
7.2	RSO Responsibilities	Page 5 - 6
7.3	International Ship Security Certificate	Page 6 – 8
7.4	Additional Security Element in SSA and SSP	Page 9
8.0	SCOPE OF APPOINTMENT FOR MARINE FACILITY	
8.1	DA Requirement	Page 9
8.2	RSO Responsibilities	Page 9
9.0	MONITORING RSO	Page 10
10.0	RSO REVOCATION	Page 10
	Appendix 1	Page 11 – 15
	Appendix 2	Page 16 – 17
	Appendix 3	Page 18
	Attachment	Page 19 - 21

1.0 RESPONSIBILITIES OF THE RECOGNIZED SECURITY ORGANIZATIONS (RSOs)

1.1 All Recognized Security Organizations (RSOs) acting on behalf of Malaysia Marine Department (MMD) as Designated Authority (DA) should:

.1 Maintain a proper communication with the DA and ship owner/Company Security Officer/Marine Facility Security Officer to make sure all the necessary arrangements to complete all the ISPS verification during the established windows in the ISPS Code Part/A 19.1 and this instructions.

.2 Complied with guidelines as Attachment 1.

2.0 APPLICABILITY OF THE ISPS CODE

2.1 The International Ship and Port Facility Security Code (ISPS Code) is implemented through Part V, MSO 1952 and Chapter XI-2 of the SOLAS. The Code applies to the following types of ships engaged on international voyages:

.1 Passenger ships, including high-speed passenger craft;

.2 Cargo ships, including high-speed craft, of 500 gross tonnage and upwards; and

.3 Mobile offshore drilling units/ Mobile offshore units; and

.4 Marine facilities (including an offshore fixed/floating structure) serving such ships in Malaysia, Malaysian waters, the exclusive economic zone and continental shelf

2.2 For those Malaysian flag vessels operating in international jurisdictional waters or international coastal voyage they must follow the national regulations of the country where it is operating, in order to comply with the ISPS Code.

3.0 VOLUNTARY COMPLIANCE

3.1 Marine Facilities/Vessels not subject to mandatory compliance with the ISPS Code may do so voluntarily. It is highly recommended that cargo ships 300 or more but less than 500 gross tonnages, domestic trade passenger ships and marine facilities serving such ship, voluntarily comply.

4.0 RESTRICTIONS OF THE RECOGNIZED SECURITY ORGANIZATION (RSOs)

- 4.1 All Recognized Security Organization (RSO) acting on behalf of Designated Authority (DA) should not by any circumstance:
- .1 Set the Security Level (SL).
 - .2 Issue an Interim /Full Term Statement of Compliance (SoC) for Marine Facility;
 - .3 Approving a Marine Facility Security Assessment and subsequent amendments to an approved assessment;
 - .4 Approving a Marine Facility Security Plan (MFSP) and subsequent amendments to an approved plan;
 - .5 Issue a short term ISSC after carried out the initial verification.
 - .6 Issue the Interim ISSC if any major ISPS deficiency was found during the ISPS verification and
 - .7 Compromises the ship's ability to operate at Security Levels 1, 2 or 3.

5.0 EQUIVALENT SECURITY ARRANGEMENTS (SOLAS Chapter, XI-2, Regulation 12)

- 5.1 As a matter of principle it is believed that this should only be undertaken in exceptional and unique circumstances. Close coordination with DA is necessary for the evaluation and approval of any such equivalencies. RSO, Owners and operators are cautioned that specific approval must be obtained from the DA prior to the use, installation or activation of any systems or services intended to serve as an equivalent to those prescribed by ISPS Code.

6.0 AUDITOR

- 6.1 Appointed auditor shall be:
- .1 Permanent/Contracted Staff;
 - .2 Malaysian Citizen; and
 - .3 Foreign auditor should apply for approval from DA (Ship Only).
- 6.2 Registered auditors must:
- .1 Be independent;
 - .2 Carry out their work with integrity;
 - .3 Be fit and proper;
 - .4 Keep to technical standards;
 - .5 Be competent and continue to be competent; and be able to meet claims against them that may arise from audit work.
- 6.3 Any changes [number](#) of auditor shall be notified to DA.

7.0 SCOPE OF APPOINTMENT FOR SHIPS

7.1 DA Requirement

- .1 RSO may provide ISPS Code verification services to vessels for which the parent RO also provides ship statutory certification services and/or ISM Code certification, provided that, the ship safety management audits and security assessments are conducted separately, and in addition to, existing ship statutory certification and classification survey functions.
- .2 If a RSO has been involved in either the conduct of the SSA or the development of the SSP or any amendments for a specific ship, that RSO shall not, due to potential conflict of interest, approve the SSP or conduct verifications for the certification of the ship.
- .3 Any Port State action taken upon to Malaysian flagged vessel by a Contracting Government or its Designated Authority is to be immediately reported by the ship's Master or the CSO to DA and the RSO by whom the ship's ISSC was issued. There can be no satisfactory resolution of a security issue unless the DA is directly involved.
- .4 If there are "clear grounds" to believe that the ship is not in compliance with the requirements of Part V, MSO 1952 or Chapter XI-2 or Part A of ISPS Code, and the only means to verify or rectify the non-compliance is to review the relevant requirements of the SSP, limited access to the specific sections of the plan relating to the non-compliance is exceptionally allowed, but only with the consent of the DA or the Master of the ship concerned.

7.2 RSO Responsibilities:

- .1 Verify that the CSO designated by the Ship Owner/Company Operator shall indicate details of the CSO in the Audit Report, prior to issue the ISSC interim or endorsement the Intermediate verification or complete the renewal verification. List of CSO for each vessel shall be developed and submit to DA (isps@marine.gov.my) monthly.
- .2 RSO who carried out the interim verification must verify that the SSAS is working properly, and shall performing a real test by sending it to mmcc@marine.go.my, Malaysia Maritime Communication Centre will confirms the reception of the test and from that date onwards, CSO should perform SSAS test annually.

- .3 The Ship Security Plan must be approved before carrying out the initial verification. CSO shall ensure that the security measures included in the (SSP) have been in place on the ship a sufficient period of time for the SSO to develop sufficient evidence documenting implementation before the verification audit is carried out.
- .4 RSO shall submit to the DA yearly for the following:
 - .1 The Number of ISSC issued (Full Term, Short Term, Interim) (Format for Statistic in Appendix 3)
 - .2 Type of ISPS Audits Conducted (Initial, Intermediate, Renewal, Interim, Additional)

7.3 International Ship Security Certificate

.1 Initial Issuance Section 249κ (1) Merchant Shipping Ordinance 1952

- .1.1 The International Ship Security Certificate (ISSC) shall be issued in a form corresponding to the template given in the Appendix 1 by the RSO after the ship has successfully completed an Initial or Renewal verification audit in compliance with the applicable requirements of Chapter XI-2 and ISPS Code Parts A and relevant provisions of Part B. The original ISSC must remain onboard the vessel.
- .1.2 An ISSC shall only be issued when:
 - i. the ship has an approved SSP;
 - ii. all technical equipment specified in the SSP is 100% operational; and
 - iii. There is sufficient objective evidence found to the satisfaction of the Administrator's RSO through the verification audit that the ship is operating in accordance with the provisions of the approved SSP.
- .1.3 Certificates shall not be issued in cases where minor deviations from the approved plan or the requirements of SOLAS Chapter XI-2 and Parts A and relevant provisions of Part B of the Code exist, even if these deviations do not compromise the ship's ability to operate at Security Levels 1, 2 and 3.

.2 Validity

- .1.1 The ISSC shall be valid for a period of five (5) years or a period specified by the Administrator from the date of the Initial Verification Audit and is subject to an Intermediate Audit between

the second and third anniversary date. However, the period of validity may be shorter than five (5) years if so requested by the CSO.

- .1.2 Upon initial issue, the expiry date may be harmonized with the ship's SMC so that renewal and auditing may occur together.

.3 Interim ISSC Section 249κ(4) Merchant Shipping Ordinance 1952

- .1.1 A RSO may issue an Interim International Ship Security Certificate in a form corresponding to the template given in the Appendix 2 for the purpose of:

- i. a ship without a certificate, on delivery or prior to its entry or re-entry into service;
- ii. transfer of a ship from the flag of a Contracting Government to the flag of another Contracting Government;
- iii. transfer of a ship to the flag of a Contracting Government from a State which is not a Contracting Government; or
- iv. When a Company assumes the responsibility for the operation of a ship not previously operated by that Company.

- .1.2 An Interim International Ship Security Certificate shall only be issued when a RSO has verified that:

- i. the Ship Security Assessment required by Part A of the ISPS Code has been completed;
- ii. a copy of the Ship Security Plan meeting the requirements of chapter XI-2 and Part A of the ISPS Code is provided on board, has been submitted for review and approval, and is being implemented on the ship;
- iii. the ship is provided with a Ship Security Alert System meeting the requirements of regulation XI-2/6 of SOLAS if required,
- iv. the Company Security Officer:

- a. has ensured:

- 1) the review of the ship security plan for compliance with Part A of the ISPS Code;
- 2) that the plan has been submitted for approval; and
- 3) that the plan is being implemented on the ship.

- b. has established the necessary arrangements, including arrangements for drills, exercises and internal audits, through which the company security officer is satisfied that the ship will successfully complete the required verification in accordance with section 19.1.1.1 Part A of the ISPS Code within 6 months;
 - v. arrangements have been made for carrying out the required verifications under section 19.1.1.1 Part A of the ISPS Code;
 - vi. the Master, the Ship Security Officer and other ship's personnel with specific security duties are familiar with their duties and responsibilities as specified in this Part of the Code; and with the relevant provisions of the ship security plan placed on board; and have been provided such information in the working language of the ship's personnel or languages understood by them; and
 - vii. The Ship Security Officer meets the requirements of Section 249, MSO 1952 and Part A of the ISPS Code.
 - viii. An Interim International Ship Security Certificate shall be valid for 6 months, or until the certificate required by section 19.2 is issued, whichever comes first, and may not be extended.
 - ix. A subsequent consecutive Interim ISSC shall not be issued to a ship if, in the judgment of the Administrator or the RSO, the purpose of requesting such Certificate by the ship or Company is to avoid compliance with the ISPS Code beyond the period of the initial issue of an Interim Certificate.
- .4 Any Application of an extension from the company for ISSC Interim, ISPS Code Part A -Para 19.4.4, it is stated that an Interim ISSC may not be extended and shall only valid for 6 month. RSO should ensure the company make a new application for Interim ISSC after approval given by the Designated Authority.

7.4 Additional security element in SSA and SSP document in the event of a threat of risk as follows (for vessel operating as a floating storage in Malaysia Water) :

- .1 Monitoring and security control within 500 meters radius;
- .2 Submission of Security Incident Report (SIR Form) in the event of security threat;
- .3 To include related agencies such as Designated Authority (DA), Malaysia Maritime Enforcement Agency (MMEA) and etc in contact details;
- .4 Ship Security Officer (SSO) must be a Malaysian Citizen and any change of SSO shall notify the DA within 24 hours; and
- .5 Ship Manager is a company registered and has an office in Malaysia.

8.0 SCOPE OF APPOINTMENT FOR MARINE FACILITY

8.1 DA Requirements

- .1 RSO must incorporate in Malaysia.
- .2 RSO should advice Marine facilities to have a:
 - .1.1 Marine Facility Security Officer (MFSO) – should be citizen of Malaysia;
 - .1.2 Assistant Marine Facility Security Officer (AMFSO);
 - .1.3 Marine Facility Security Assessment (MFSA) ; and
 - .1.4 Marine Facility Security Plan (MFSP) as per Mardept Guidelines.

8.2 RSO Responsibilities

- .1 RSO shall notify and get approval from DA (isps@marine.gov.my) before the development of MFSA/MFSP.
- .2 Statistic of consultancy done by RSO shall be submitted to DA yearly. (December)
- .3 RSO to provide security management training for MFSOs, port staff and the company management team.

9.0 MONITORING RSO

- 9.1 Since this instruction require RSO to comply with the auditing, ethical and quality control standards, then the monitoring required by this instruction should also include how the RSO is complying with those standards.
- 9.2 Unscheduled Security Verification (USV)
 - .1 DA will carry out an USV to examine and verify the RSO performance in order to ensure the compliance to this instructions and any other related instructions as and when deemed necessary by the DA as stated under Section 249x (3) MSO 1952.
- 9.3 Ship Sampling
 - .1 RSO and DA shall conduct a jointly ship verification as and when deemed necessary by DA.

10.0 RSO REVOCATION

- 10.1 Under Section 249x (3) MSO 1952; Designated Authority may revoke the appointment of a security organization under subsection 249x(1) if he is satisfied that the security organization fails to meet the conditions as imposed by him.

For further assistance and/or inquiries regarding of this RSO instructions, please contact directly

Principal Assistant Director
ISPS Code Enforcement Unit
Industrial Control Division
Malaysia Marine Department
Marine Headquarters
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42007 Port Kelang
Selangor, Malaysia
isps@marine.gov.my



APPENDIX 1

Form of the International Ship Security Certificate

INTERNATIONAL SHIP SECURITY CERTIFICATE

Certificate Number

Issued under the provisions of the
INTERNATIONAL CODE FOR THE SECURITY OF SHIPS AND OF PORT FACILITIES
(ISPS CODE)

Under the authority of the Government of
MALAYSIA
(Section 249k MSO 1952)

by _____
(persons or organization authorized)

Name of ship :

Distinctive number or letters :

Port of registry :

Type of ship :

Gross tonnage :

IMO Number :

Name and address of the Company :

THIS IS TO CERTIFY:

- 1 that the security system and any associated security equipment of the ship has been verified in accordance with section 19.1 of part A of the ISPS Code;
- 2 that the verification showed that the security system and any associated security equipment of the ship is in all respects satisfactory and that the ship complies with the applicable requirements of chapter XI-2 of the Convention and part A of the ISPS Code;
- 3 that the ship is provided with an approved Ship Security Plan.

Date of initial / renewal verification on which this certificate is based.....

This Certificate is valid until.....subject to verifications in accordance with section 19.1.1 of part A of the ISPS Code.

Issued at.....
(place of issue of the Certificate)

Date of issue.....
(signature of the duly authorized official issuing the Certificate)

(Seal or stamp of issuing authority, as appropriate)

ENDORSEMENT FOR INTERMEDIATE VERIFICATION

THIS IS TO CERTIFY that at an intermediate verification required by section 19.1.1 of part A of the ISPS Code the ship was found to comply with the relevant provisions of chapter XI-2 of the Convention and part A of the ISPS Code.

Intermediate verification

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

ENDORSEMENT FOR ADDITIONAL VERIFICATIONS*

Additional verification

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

Additional verification

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

Additional verification

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

Additional verification in accordance with section A/19.3.7.2 of the ISPS Code

THIS IS TO CERTIFY that at an additional verification required by section 19.3.7.2 of part A of the ISPS Code the ship was found to comply with the relevant provisions of chapter XI-2 of the Convention and part A of the ISPS Code.

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

Endorsement to extend the certificate if valid for less than 5 years where section A/19.3.3 of the ISPS Code applies

The ship complies with the relevant provisions of part A of the ISPS Code, and the Certificate shall, in accordance with section 19.3.3 of part A of the ISPS Code, be accepted as valid until.....

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

Endorsement where the renewal verification has been completed and section A/19.3.4 of the ISPS Code applies

The ship complies with the relevant provisions of part A of the ISPS Code, and the Certificate shall, in accordance with section 19.3.4 of part A of the ISPS Code, be accepted as valid until.....

Signed.....
(Signature of authorized official)

Place.....

Date.....

(Seal or stamp of the authority, as appropriate)

Endorsement to extend the validity of the certificate until reaching the port of verification where section A/19.3.5 of the ISPS Code applies or for a period of grace where section A/19.3.6 of the ISPS Code applies

This Certificate shall, in accordance with section 19.3.5 / 19.3.6* of part A of the ISPS Code, be accepted as valid until.....

Signed.....
(Signature of authorized official)

Place.....

Date.....
(Seal or stamp of the authority, as appropriate)

Endorsement for advancement of expiry date where section A/19.3.7.1 of the ISPS Code applies

In accordance with section 19.3.7.1 of part A of the ISPS Code, the new expiry date** is

.....

Signed.....
(Signature of authorized official)

Place.....

Date.....
(Seal or stamp of the authority, as appropriate)

* Delete as appropriate.

** In case of completion of this part of the certificate the expiry date shown on the front of the certificate shall also be amended accordingly.

APPENDIX 2

Form of the Interim International Ship Security Certificate



INTERIM INTERNATIONAL SHIP SECURITY CERTIFICATE

Certificate No.....

Issued under the provisions of the

INTERNATIONAL CODE FOR THE SECURITY OF SHIPS AND OF PORT FACILITIES
(ISPS CODE)

Under the authority of the Government of
MALAYSIA
(Section 249k MSO 1952)

by _____
(persons or organization authorized)

Name of ship :

Distinctive number or letters :

Port of registry :

Type of ship :

Gross tonnage :

IMO Number :

Name and address of company :

Is this a subsequent, consecutive interim certificate? Yes/ No*

If Yes, date of issue of initial interim certificate.....

THIS IS TO CERTIFY THAT the requirements of section A/19.4.2 of the ISPS Code have been complied with.

This Certificate is issued pursuant to section A/19.4 of the ISPS Code.

This Certificate is valid until.....

Issued at.....
(place of issue of the certificate)

Date of issue
*(Signature of the duly authorized official
issuing the Certificate)*

(Seal or stamp of issuing authority, as appropriate)

APPENDIX 3

Format for the International Ship Security Certificate Statistic

MALAYSIA INTERNATIONAL SHIP SECURITY CERTIFICATE (ISSC)

	JAN	FEB	MARCH	APR	MAY	JUNE	JULY	AUG	SEP	OCT	NOV	DEC	TOTAL
1. TYPE ISPS AUDITS CONDUCTED													
INITIAL AUDIT													
INTERMEDIATE AUDIT													
RENEWAL													
INTERIM AUDIT													
ADDITIONAL AUDIT													
2. THE NUMBER OF ISSCs ISSUED													
FULL TERM ISSC													
SHORT TERM ISSC													
INTERIM ISSC													

YEAR XXXX

ATTACHMENT 1

A Security Organization recognized by the Designated Authority to perform statutory work on its behalf subject to compliance with the following guidelines for which the Recognized Security Organization (RSO) should submit complete information and substantiation.

1.0 General

- 1.1 The relative size, structure, experience and capability of the RSO commensurate with the type and degree of authority intended to be delegated thereto should be demonstrated.
- 1.2 The RSO should be able to document capability and experience in performing security assessments, developing risk assessments, conducting maritime verification, approval and certification activities for ships and/or for port facilities and their ancillary equipment, as appropriate.

2.0 Specific Provisions

- 2.1 The following should apply for the purpose of delegating authority to perform port facility security assessment and ship verification, and certification services of a statutory nature in accordance with regulatory instruments which require the ability to integrate ship and port interface operational considerations with maritime security threats, and to develop, verify and audit specific requirements:
 - .1 RSO should provide publication and systematic maintenance of procedures in the English language for the conduct of activities to ensure compliance with delegated authorities pursuant to Part V, MSO 1952 and SOLAS Chapter XI2. Updating of these procedures should be done on a periodic basis at intervals acceptable to the Administration.
 - .2 RSO should allow participation in the development of its procedures by representatives of the Administration and/or Designated Authority and other parties concerned.
 - .3 RSO should be established:
 - .1 an adequate technical, managerial and support staff capable of developing and maintaining its procedures; and
 - .2 a qualified professional staff to provide the required service representing an adequate geographical coverage as required by the Administration and/or Designated Authority.
 - .4 RSO should be governed by the principles of ethical behavior, which should be contained in a Code of Ethics and as such recognize the

inherent responsibility associated with a delegation of authority to include assurance as to the adequate performance of services as well as the confidentiality of related information as appropriate.

- .5 RSO should demonstrate the technical, administrative and managerial competence and capacity to ensure the provision of quality services in a timely fashion.
- .6 RSO should be prepared to provide relevant information to the Designated Authority, as necessary.
- .7 RSO's management should define and document its policy and objectives for, and commitment to, quality and ensure that this policy is understood, implemented and maintained at all levels in the RSO.
- .8 RSO should be subject to certification of its quality system by an independent body of auditors recognized by the Administration and Designated Authority.
- .9 RSO should develop, implement and maintain an effective internal quality system based on appropriate parts of internationally recognized quality standards no less effective than the ISO 9000-2000 series, and which, inter alia, ensures that:
 - .1 RSO's procedures are established and maintained in a systematic manner;
 - .2 RSO's procedures are complied with;
 - .3 The requirements of the statutory work for which the RSO is authorized, are satisfied;
 - .4 The responsibilities, authorities and interrelation of personnel whose work affects the quality of the RSO's services, are defined and documented;
 - .5 a supervisory system is in place that monitors the actions and work carried out by the RSO;
 - .6 a system for qualification of auditors and continuous updating of their knowledge is implemented;
 - .7 records are maintained, demonstrating achievement of the required standards in the items covered by the services performed, as well as the effective operation of the quality system;
 - .8 a comprehensive system of planned and documented internal audits of the quality related activities in all locations is implemented;
 - .9 RSO has established a process and procedures to assess and monitor at periodic intervals the trustworthiness of its personnel;

- .10 RSO has established processes and procedures to ensure that appropriate measures are in place to avoid unauthorized disclosure of, or access to, security sensitive materials relating to ship security assessments, ship security plans, port facility security assessments and port facility security plans, and to individual assessments or plans; and
- .11 a procedure for providing feedback and information, as appropriate, to its customers.